

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4628057
<b>Application Number:</b>	10679054
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	1616
<b>Title of Invention:</b>	Enhanced premium equity participating securities
<b>First Named Inventor/Applicant Name:</b>	Kevin G. Woodruff
<b>Customer Number:</b>	26285
<b>Filer:</b>	Mark G. Knedeisen/Mona Cornelia
<b>Filer Authorized By:</b>	Mark G. Knedeisen
<b>Attorney Docket Number:</b>	030676
<b>Receipt Date:</b>	16-JAN-2009
<b>Filing Date:</b>	03-OCT-2003
<b>Time Stamp:</b>	15:34:23
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1920
RAM confirmation Number	981
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**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	Amendment_Transmittal_01_16_2009.pdf	252333 800447dc5fd7fb5ac42ba4c771eb1675033 d25f7	no	4

**Warnings:****Information:**

2		Response_to_OA.pdf	52759 01e7f3681650f1e2e896a09196f48d3a46a4 2f39	yes	13
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**Multipart Description/PDF files in .zip description**

	Document Description	Start	End
	Amendment Submitted/Entered with Filing of CPA/RCE	1	1
	Claims	2	8
	Applicant Arguments/Remarks Made in an Amendment	9	13

**Warnings:****Information:**

3	Request for Continued Examination (RCE)	RCE_Transmittal.pdf	150525 71be6823a508e54b7c5ca08ba6385d12063 327e2	no	1
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**Warnings:**

This is not a USPTO supplied RCE SB30 form.

**Information:**

4	Fee Worksheet (PTO-06)	fee-info.pdf	32006 d0908b86198070f25b83ada56b38229fb1 0d302	no	2
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**Warnings:****Information:**

<b>Total Files Size (in bytes):</b>	487623
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.